

ATTORNEY DOCKET NO.: 3005-31

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **T. YAMAZAKI, ET AL.**

U.S. Serial No.: **10/004,640**

Filed: **12/05/2001**

For: **NUMERICALLY CONTROLLED METHOD**

Assistant Commissioner for Patents
Washington, D.C. 20231
BOX DD

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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR

§ 1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☒ No separate requirements are needed.
- ☒ No additional fee is required.

CERTIFICATE UNDER 37 CFR 1.8(a)
I HEREBY CERTIFY THAT THIS
CORRESPONDENCE IS BEING DEPOSITED
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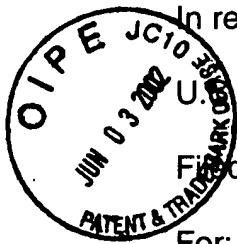
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7 1725 #3 BT 01-21-03

[] **Fee Under 37 CFR § 1.97©**

The fee of \$240.00 for submission of an IDS under § 1.97© as set forth in § 1.17(p) accompanies this statement.

[] **Petition Under 37 CFR § 1.97(d)**

This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee of \$130.00 set forth in § 1.17(i)(1) accompanies this petition.

[] **Certification Under 37 CFR § 1.97(e)**

Each item of information contained in this statement was either

- (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- (2) not known to any individual designated in § 1.56© more than three months prior to the filing of this statement.

Provision of Copies of References

- ☒ [X] A copy of each of the references listed on the attached Form PTO-1449A is enclosed herewith and forms a part hereof.
- ☐ [] A partial translation of Reference ____ is enclosed herewith and forms a part hereof.
- ☐ [] A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ [] A copy of the Search Report from a corresponding or related German application is enclosed herewith.
- ☐ [] A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

- ☐ [] This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application Serial No. _____, filed on _____. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

[X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to **Deposit Account No. 04-1679**. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Date: _____

May 22, 2002

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Docket No. 3005-31
D5620-00028

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Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office		Docket No. 3005-31 (D5620-00028)		Serial No. 10/004,640			
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)		Applicant: T. YAMAZAKI, ET AL.					
		Filing Date: 12/05/2001		Group Art Unit:			
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US5,063,335					
	AB	US5,606,236					
	AC	US4,628,499					
	AD	US5,028,855					
	AE	US6,118,245					
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AK	AU6,997,796		AUSTRALIA			
	AL	GB1,142,774		GREAT BRITAIN			
	AM						
	AN						
	AO						
OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AP						
	AQ						
	AR						
	AS						
	AT						
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

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